



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/687,140	RECEIVED NOV 20 2003 Technology Center 2000
	Filing Date	October 12, 2000	
	First Named Inventor	John J. Sie	
	Art Unit	2611	
	Examiner Name	Kieu Oanh T. Bui	
Total Number of Pages in This Submission	4	Attorney Docket Number	019281-001200US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> Return Postcard Cited References: 3-US Patents 1-Foreign Patent
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Townsend and Townsend and Crew LLP Thomas D. Franklin Reg. No. 43,616
Signature	
Date	November 12, 2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Nicole M. Wartell		
Signature		Date	November 12, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/17 (10-03)

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete if Known

Application Number 09/687,140
Filing Date October 12, 2000
First Named Inventor Sie, John J.
Examiner Name Kieu Oahn T. Bui
Art Unit 2611
Attorney Docket No. 019281-001200US

RECEIVED

NOV 20 2003

Technology Center 2600

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 20-1430

Deposit Account Name Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	770	2001 385 Utility filing fee	
		1002	340	2002 170 Design filing fee	
		1003	530	2003 265 Plant filing fee	
		1004	770	2004 385 Reissue filing fee	
		1005	160	2005 80 Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1202	18	2202 9 Claims in excess of 20	
		1201	86	2201 43 Independent claims in excess of 3	
		1203	290	2203 145 Multiple dependent claim, if not paid	
		1204	86	2204 43 ** Reissue independent claims over original patent	
		1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
				1051	130	2051 65 Surcharge - late filing fee or oath	
				1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet.	
				1053	130	1053 130 Non-English specification	
				1812	2,520	1812 2,520 For filing a request for reexamination	
				1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
				1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
				1251	110	2251 55 Extension for reply within first month	
				1252	420	2252 210 Extension for reply within second month	
				1253	950	2253 475 Extension for reply within third month	
				1254	1,480	2254 740 Extension for reply within fourth month	
				1255	2,010	2255 1,005 Extension for reply within fifth month	
				1401	330	2401 165 Notice of Appeal	
				1402	330	2402 165 Filing a brief in support of an appeal	
				1403	290	2403 145 Request for oral hearing	
				1451	1,510	1451 1,510 Petition to institute a public use proceeding	
				1452	110	2452 55 Petition to revive - unavoidable	
				1453	1,330	2453 655 Petition to revive - unintentional	
				1501	1,330	2501 655 Utility issue fee (or reissue)	
				1502	480	2502 240 Design issue fee	
				1503	640	2503 320 Plant issue fee	
				1460	130	1460 130 Petitions to the Commissioner	
				1807	50	1807 50 Petitions related to provisional applications	
				1806	180	1806 180 Submission of Information Disclosure Stmt	180
				8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
				1809	770	2809 385 Filing a submission after final rejection (37 CFR § 1.129(a))	
				1810	770	2810 385 For each additional invention to be examined (37 CFR § 1.129(b))	
				1801	770	2801 385 Request for Continued Examination (RCE)	
				1802	900	1802 900 Request for expedited examination of a design application	
Other fee (specify) _____							
*Reduced by Basic Filing Fee Paid SUBTOTAL (3)							(\$)180

SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Thomas D. Franklin	43,616	303-571-4000
Signature	Date	November 12, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.